

## **Only connect**

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### Abstract

The ‘misplacement’ of *only* illustrated by *She only travels on foot*, where there is a perceived discrepancy between the placement of *only*, before the verb, and its scope, the adjunct *on foot*, has long been controversial among the prescriptively minded; compare the approved-of juxtaposition in *She travels only on foot*. But the ‘misplacement’ is also a well-established feature of Present-day English usage, which most speakers find more natural than the alternative. Here I look at the syntax of ‘misplacement’ within the framework set forth in Anderson (1997), as well as at other aspects of the syntax of *only*. Traditionally, grammarians and lexicographers have distinguished an ‘adverbial’ and an ‘attributive’ *only*. I suggest here, however, that in both uses *only* is a specifier in terms of the adopted framework, an element whose major function is to seek to modify a head. In its ‘adverbial’ use it is a very general specifier which allows or requires, under certain conditions (particularly the category of the specified), what I call here vicarious (‘misplaced’) specification. In terms of this last, elements can come to share their specifier with a governing predicator, or, in one case, a governed predicator. The attempt to clarify the syntax of vicarious specification is the major motivation behind the present investigation. In its ‘attributive’ use *only* is a specifier of a quantifier category, either a periphrastic quantifier or one incorporated into the internal structure of a noun.



### Introduction

It is a familiar observation concerning current (non-pedantic) English usage that the word *only* in (1.a) can be interpreted as having the same scope as in (b) or (c):

- (1) a. Bill *only* enjoys cheese in France  
 b. Bill enjoys cheese *only* in France  
 c. Bill enjoys *only* cheese in France

On neither of these readings for (1.a) is *only* adjacent to the elements within its scope, unlike in (b) and (c). This usage is by now well established, despite much disparagement, under the rubric of ‘misplacement of *only*’ (see Vallins (1956: 138-9) for a brief survey of some attitudes to this usage, and Nevalainen (1991: 8, 133-4) for further illustration and references); and the reason(s) for its currency is part of my concern here.

Sentence (1a) has another two readings where the scope is more directly reflected in the positioning of *only*. On one of these, *enjoys* alone is in the scope of *only*, and it bears the tonic or sentence accent; and this version of (1.a) might be continued as in (2):

- (2) He *only enjoys* cheese in France, he doesn’t worship it

Such a reading is most transparent if it involves an item not at an extreme point on some conceivable scale. On the other reading, *enjoys cheese (in France)* is in the scope of *only*, and this interpretation excludes any other verb phrase which might be pragmatically appropriate; and in this case (1.a) might be continued as in (3), for example:

- (3) Bill *only* enjoys cheese in France, that doesn’t mean he goes in for culinary orgies

(On these distinctions, see e.g. the brief discussion by Horn 1969: 100-1.) In each of these latter two cases the *only* immediately precedes the sequence in its scope, as it also does in (1.b) and (c).

We might roughly paraphrase these various readings for (1.a) as in (4):

- (4) a. Bill doesn’t enjoy cheese other than in France (= (1.b))  
 b. Bill doesn’t enjoy anything in France other than cheese (= (1.c))  
 c. Bill doesn’t do anything with respect to cheese in France other/more than enjoy it (cf. (2))  
 d. Bill doesn’t do anything (in France) more worth remarking on than enjoy cheese (in France) (cf. (3))

The bracketings in (4.d) discriminate a further distinction concerning whether or not *in France* is in the scope of *only*, whether its scope includes all of the ‘outer verbal phrase’ or just the ‘inner’.

These observations are part of the abundant evidence that there is a ‘slot’ in sentence structure in which the scope-imposing elements that have been referred to as ‘focusing adverb(ial)s’ (Nevalainen 1991: §1.1.2) can occur in a position that neutralises the expression of scope differences. I am concerned here with the syntax of this phenomenon as exemplified by *only*, while recognising that not all such elements share exactly the same syntactic possibilities, even all those among the ‘focusing adverb(ial)s’ which, like *only*,

*just* and *merely*, have been described as ‘exclusive adverb(ial)s’ (cf. again Nevalainen 1991). In §2 I shall be looking in detail at the syntactic properties of the preverbal ‘slot’ illustrated in the previous paragraph. §3.1 considers the role of this ‘slot’ in the syntax of nominal constructions, particularly those involving quantification; while §3.2 looks briefly at another aspect of nominal syntax, the role of vicarious specification with respect to nominal attributives. §4 looks at the character of a familiar distinction, involving the existence of an apparently ‘attributive’ role for *only*; in this function *only* does not show vicarious specification. At this point, however, I want to outline how the more straightforward behaviour of *only* and similar ‘adverbial’ forms is to be accommodated within the syntactic framework developed in Anderson (1997).

### 1 The status of ‘adverbial’ *only*

In its ‘exclusive adverbial’ use *only* is clearly one of those elements that do not themselves take complements and are themselves inherently non-complements; Anderson (1997: §2.8) calls them **specifiers**. Basic syntactic structure, in that framework, is determined by the pattern of categorisation and subcategorisation associated with the elements, words, that go together to form a potential sentence: **complements** are **dependent** on their **complementees**, and basic linearisation reflects head-dependent relations. Thus, all of the non-verbal constructions in (5) are complements of the verbal:

(5) John sent it to Edinburgh

The verb is subcategorised as in (6):

(6) *send* {P;N/{erg} {abs} {loc}}

That is, it is a non-auxiliary verb ({P;N}) which takes an ergative (agentive), absolutive (neutral) and a locative as complements, with this complementation being specified to the right of the slash in (6). (I ignore here the unexpressed ablative argument associated with directional predicates.) Let me now comment on these categories.

The system of **primary categories** exemplified by {P;N} is built on the combination of the two notional features **P** (predicability) and **N** (referentiality), which combine in various proportions to identify different categories. {P}, i.e. the category represented as **P** on its own, characterises ‘operators’ (finite auxiliaries), whose presence guarantees sentencehood to a combination of words (provided, of course, the sub-combinations are well-formed); and {N} is associated with referentials, such as names, pronouns and determiners (with these last taking nouns as complements); verbs, nouns and adjectives involve positive combinations of **P** and **N**: as in (6), the non-auxiliary verbal is represented as {P;N}, i.e. with **P**, on the left of the semi-colon, preponderating over **N**, whereas nouns are {N;P}, i.e. with preponderant **N**, while adjectives involve ‘mutual preponderance’, represented as {P:N} (or {N:P}). I shall refer to any category containing **P** as a **predicator**; categories that combine **P** with **N** are **lexical predicators**. {P} and {P;N} are **verbal** (predicators). {N} and {N;P} are **nominal**. **Erg**, **abs** and **loc** are **secondary categories** of the functor category, the latter being represented { }, i.e. as involving absence of both features: each of {erg}, {abs} and {loc} in (6) indicates a complement requirement for the verb, involving a functor category specified as to its secondary category.

Like the other simplex combinations {P} and {N}, functor, { }, is a functional category, and may be expressed as an independent word or morphologically or by some syntactic means. In English, whereas {loc} is typically expressed by a preposition, like *to*

in (5), {erg} and {abs} are reflected by the syntax, specifically by the fact that, in terms of the subject-selection hierarchy (Anderson 1997: §3.1.1), in the unmarked case {erg} outranks {abs} as potential subject, as actualised in (5). Each functor takes a referential as complement, by a general syntactic redundancy, **functor complementation**:

$$(7) \quad \{ \} \Rightarrow \{ / \{N\} \}$$

And each referential is complement to a functor, whether the functor is a separate word, as with *to* in (5), or, failing this, it is present by virtue of the general lexical redundancy, **secondary functorhood**, given in (8.a), which allows referentials to incorporate a functor which governs them:

$$(8) \quad \begin{array}{l} \text{a.} \quad \{ \} \\ \quad \quad | \\ \{N\} \Rightarrow \{N\} \\ \\ \text{b.} \quad \{N\} \quad \{ \} \\ \quad \quad | \\ \quad \quad \{N\} \end{array}$$

The vertical in (8.a) represents a dependency relation that is word-internal and does not involve a difference in precedence between the upper (head) and the lower (dependent) element. Lexically, referentials thus have both of the specifications given in (8.b). And the complex referential can be associated with any secondary functor category (erg, abs etc) whose unmarked realisation is not as a separate word, though, depending on the referential (and any dependent noun – I am ignoring this aspect here), some associations of functoral secondary category and referential will be pragmatically more likely.

Thus, the lexical representations for *John*, *it* and *Edinburgh* in (9) will be available, among others, in the lexicon:

$$(9) \quad \begin{array}{l} \text{a.} \quad \textit{John} \quad \{N\} \quad \{abs\} \quad \{erg\} \quad \dots \\ \quad \quad \quad \quad \quad | \quad \quad | \\ \quad \quad \quad \quad \quad \{N\} \quad \{N\} \\ \\ \text{b.} \quad \textit{it} \quad \{N\} \quad \{abs\} \quad \{erg\} \quad \dots \\ \quad \quad \quad \quad \quad | \quad \quad | \\ \quad \quad \quad \quad \quad \{N\} \quad \{N\} \\ \\ \text{c.} \quad \textit{Edinburgh} \quad \{N\} \quad \{abs\} \quad \{erg\} \quad \dots \\ \quad \quad \quad \quad \quad | \quad \quad | \\ \quad \quad \quad \quad \quad \{N\} \quad \{N\} \end{array}$$

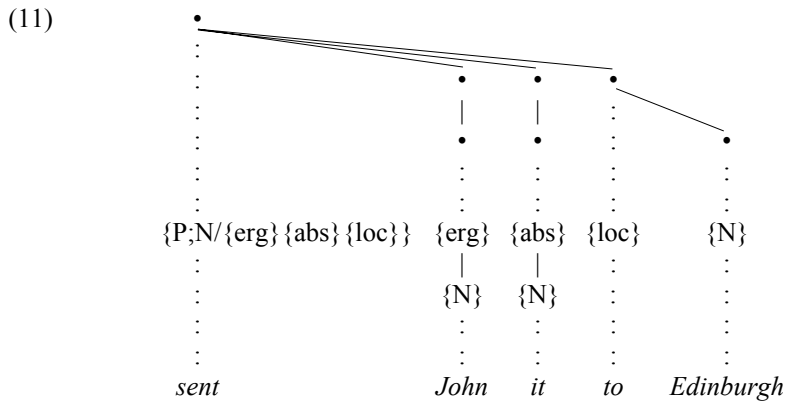
While *to* will be categorised as in (10):

$$(10) \quad \textit{to} \quad \{loc\}$$

These and the other relevant lexical representations determine both the viability of a sentence combining these words and the basic linearity relationships. Given the set of

words in (6), (9) and (10), we have a compatible set of subcategorisational requirements; indeed, we have several sets, in principle; but some combinations result in pragmatically less likely sentences than (5), such as, perhaps, *It sent John to Edinburgh*, or *Edinburgh sent John to it*. Let us focus on the derivation of (5).

Syntactic structure is assigned to the categorisations underlying (5) as follows. *Edinburgh* is most obviously available as a referential to satisfy the complementation of *to*, expressed in (10). This means that, given possibilities available via functor incorporation, we have three functors to satisfy the requirements of *read*, (6), with *John*, via secondary functorhood, as a plausible {erg} referential, and *it* as an {abs}. If the various complements are syntactically made dependents of the elements whose subcategorisation they satisfy, we can derive the unlinearised syntactic structure in (11) from the collection of words in (6), (9) and (10):



Each category projects a node in the tree in (11), and the nodes are connected by continuous lines representing the dependency relation projected by the complementation relationships, with the lower node associated with a complement being dependent on the higher node to which it is connected; a complementee projects a head to govern its complement(s). The non-vertical dependency lines signal the adjunctive dependency holding between individual words, and the verticals are projected by the word-internal categorial dependencies involved - in these instances, functor-referential complexes. The discontinuous lines associate the items and their categories and the categories and the nodes they project.

English is a centrifugal language: the default is for adjoined dependents to be linearised to the right of their heads. This accounts for the sequence of *sent* and *it* and *sent* and *to*, and *to* and *Edinburgh*. {abs} precedes {loc} by general rule in English and other centrifugal languages. In these respects the sequencing is (after all) as shown in the left-to-right ordering of (11). In the case of *sent* and *John* something else supervenes.

The sentence structure in (11) is incomplete (even apart from the missing linearity specifications just acknowledged). Missing is the finiteness element. In (12) this is provided by *has*, a finite auxiliary, or operator:

(12)            John has sent it to Edinburgh

*Has* is of category {P} and by a general syntactic redundancy, **operator complementation**, it takes a {P;N} complement:

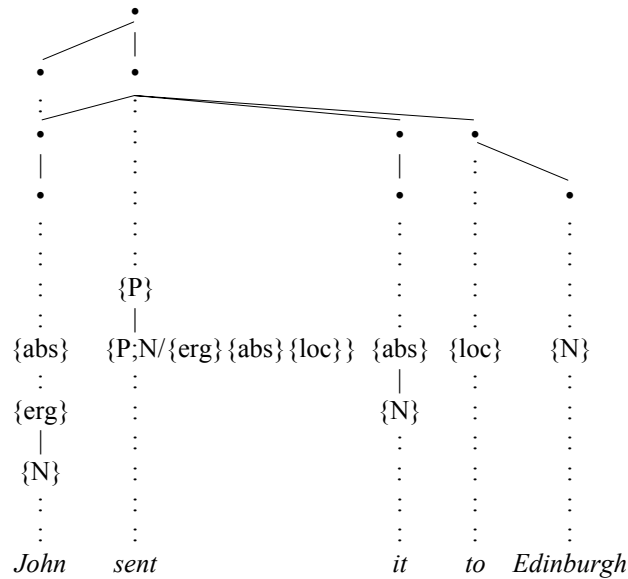
(13)            {P}  $\Rightarrow$  P/{P;N}







(17)



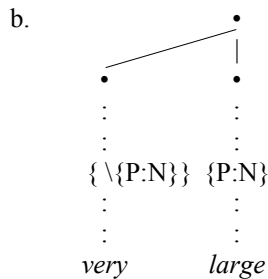
Basic to the erection of (17), the dependencies therein and the linearisation, is the interaction of complements and complementees. There are other elements, however, which belong to neither class. Among these are the specifiers.

As a specifier, *only* is not subcategorised-for; it is not a potential complement. Nor is it a complement-taker: it is an optional element whose basic syntax is dependent on that of the construction within its scope. Accordingly we can, following Anderson (1997: §2.8) on specifiers, characterise *only* as an element that necessarily seeks a head to depend on. Lexically such an element can be represented schematically as in (18):

(18)        { \{X\} }

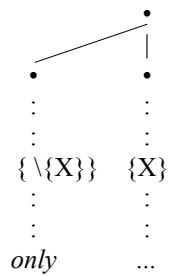
The back slash indicates what we might call ‘retro-complementation’: the representation to the right of the slash in this case indicates the category of the head that the element specifies, and thus depends on.. X is a variable over the various categorial possibilities. Sometimes the categorial specification of what the specifier specifies is narrow, as with *very* in English, which we might represent as in (19.a):

(19) a.        *very*        { \{P:N\} }



That is, *very* requires to attach to an adjective (on the assumption that manner adverbs share this categorisation). Syntactically, the specifier is made dependent on a node superjoined to the syntactic node projected by the element it ‘retro-complements’, or specifies, giving a structure like that in (19.b). The construction remains adjectival, headed by an adjective. *Only* appears to be categorially more promiscuous in its choice of head: *only large men*, *only slightly late*, *only later*, *the only survivors*, *only takes a minute*, *only on Sundays*. But it entails the same structural addition:

(20)



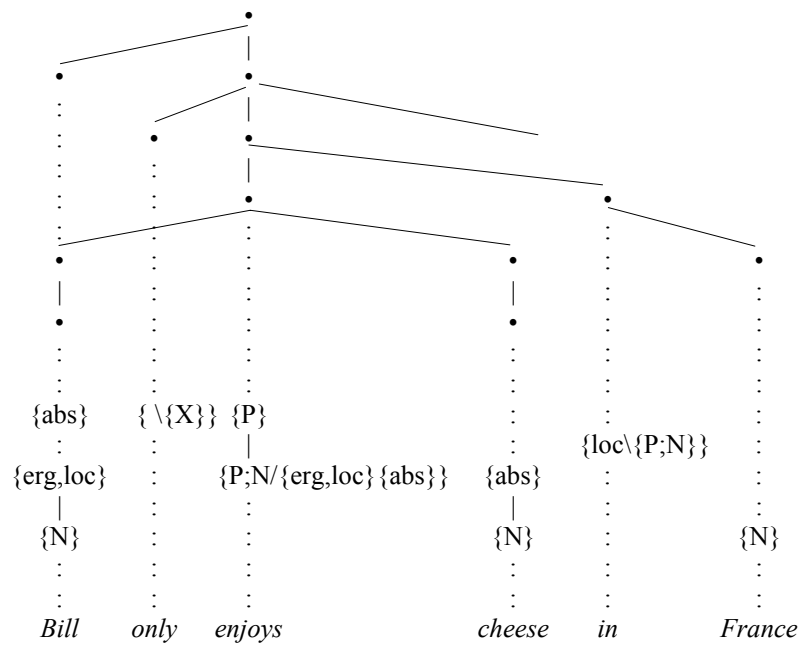
*Only* is represented here as a completely general specifier: determining limitations on this is one of our concerns here.

Return now to the examples involving *only* given initially:

- (1) a. Bill only enjoys cheese in France  
 b. Bill enjoys cheese only in France  
 c. Bill enjoys only cheese in France

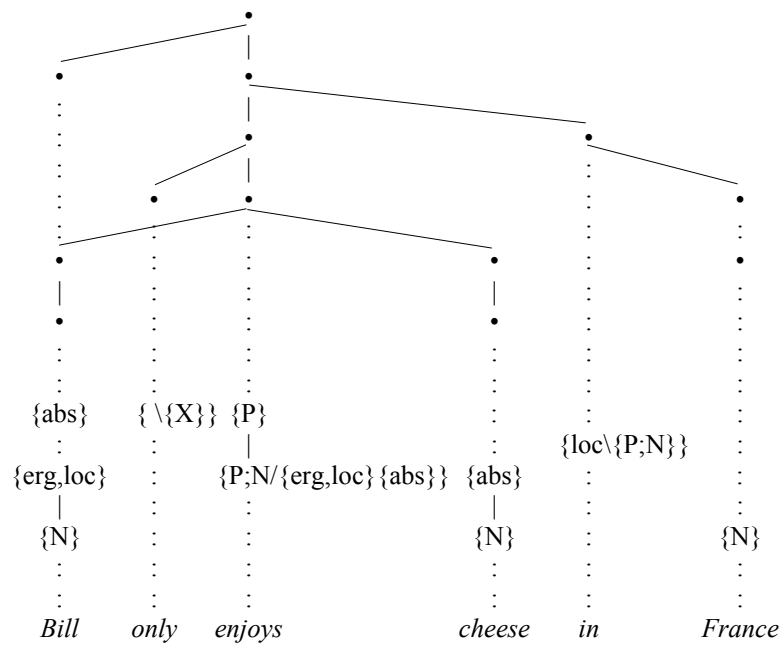
We can represent the structure of (1.a), on the variant of interpretation (4.d) in which *in France* is within the scope of *only* (‘All Bill does is enjoy cheese in France’), as in (21), which ignores e.g. the internal categorisation of *cheese*:

(21)



With *in France* outwith the scope of *only* ('All Bill does in France is enjoy cheese') we have (22):

(22)

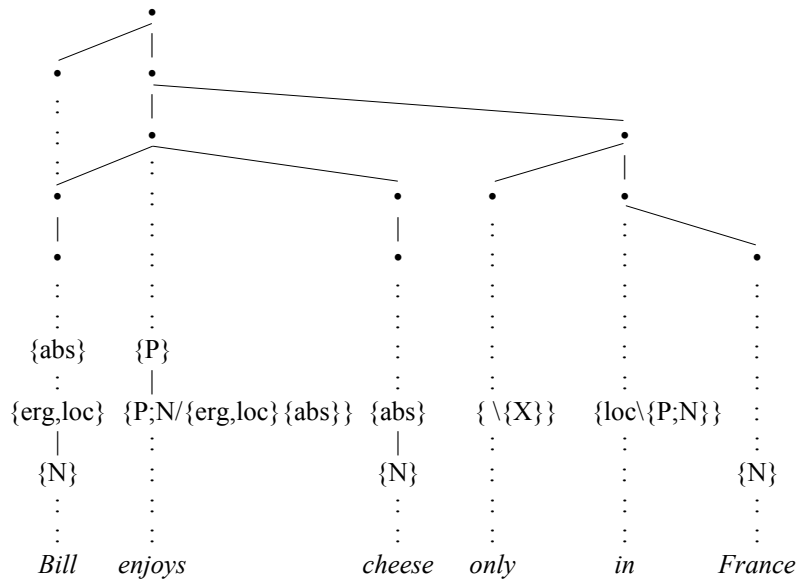


*Bill* is the  $\{erg,loc\}$  ('experiencer') argument of the predicator *enjoys*, which also takes an  $\{abs\}$ . *In France* is a **circumstantial**: like a specifier, it is not a complement, but rather seeks a category to modify; here I've taken it to be  $\{P;N\}$ , which thereby has another node

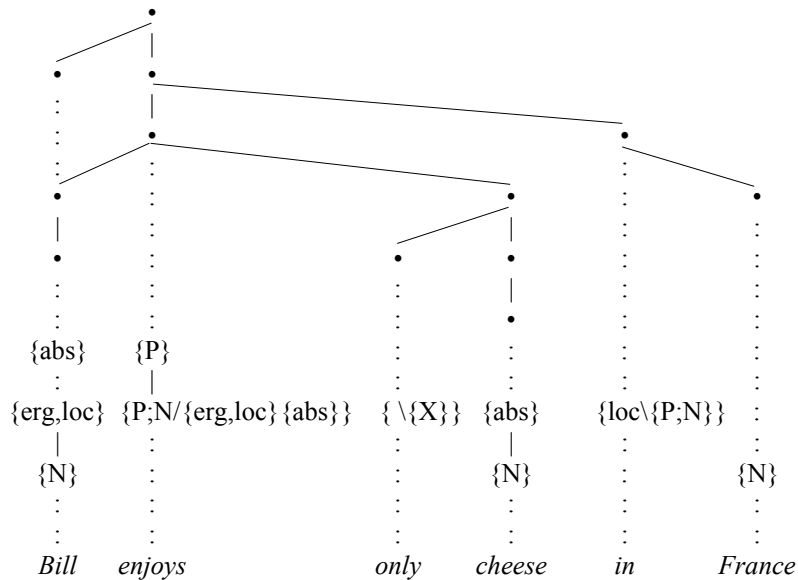
(apart from that triggered by *only*) added above the one it projects in the syntactic tree. Unlike basic specifiers, circumstantials are potential complements. And their serialisation is different: in the present case *in France* shows the default linearisation: it follows its head. We are not concerned here with the internal structure of the locative {N}. In the syntactic tree *only* adds a node above the head of the construction that falls within its scope. The two interpretations correlate with whether *only* is attached above or below the circumstantial.

Likewise, with respect to (1.b) and (c), the specifier inserts a node above the head of the following construction, which lies within its scope, as in (23) and (24), respectively:

(23)



(24)



*Only* thus specifies functor phrases as well as verbal, and, as a specifier, creates the structure in (21).

I shall address below the question of the representation associated with reading (4.c) of (1.a), wherein only the predicator is within the scope of *only*. This involves distinct issues to do with the character of the specification of non-maximal projections.

All of the present representations, (21) through (24), conform to the generalisation that the construction to their immediate right, above whose head they insert a syntactic node, is within their scope. But what is for most speakers the most salient interpretation of (1.a), namely that it shares with (1.b), violates this generalization, as does the interpretation shared with (1.c): its scope in both instances is a non-adjacent subpart of the construction that follows. This discrepancy is the concern of the section that follows. In it I do not attempt to survey the attempts that have been made within various frameworks to account for the apparent discrepancy in linear placement associated with the most salient interpretation of (1.a) and the like. See Nevalainen (1991: §§2.2.1-2), however, for a short review of the various abortive attempts at analysis emanating from the generativist tradition in the 70s.

## 2 The preverbal slot for *only*

In (1.a), interpreted as is (1.b) or (c), the specifier comes as usual to the left of the construction in its scope, but not immediately to its left; rather, to the immediate left of the governing predicator, in the position normally adopted by the specifier of the latter. That this **vicarious** specifier slot is specifically that associated with {P;N}, a lexical verbal predicator, is confirmed by the dubious character of (25.b), in the sense of (c):

- (25) a. He might only eat the cheese  
 b. ?\*He only might eat the cheese  
 c. He might eat only the cheese

This is in contrast with the syntax of circumstantials like *usually*, which can precede either {P}, the operator, or {P;N}:

- (26) a. He usually (?\*only) will (only) eat the cheese  
 b. He (?\*only) will usually (only) eat the cheese

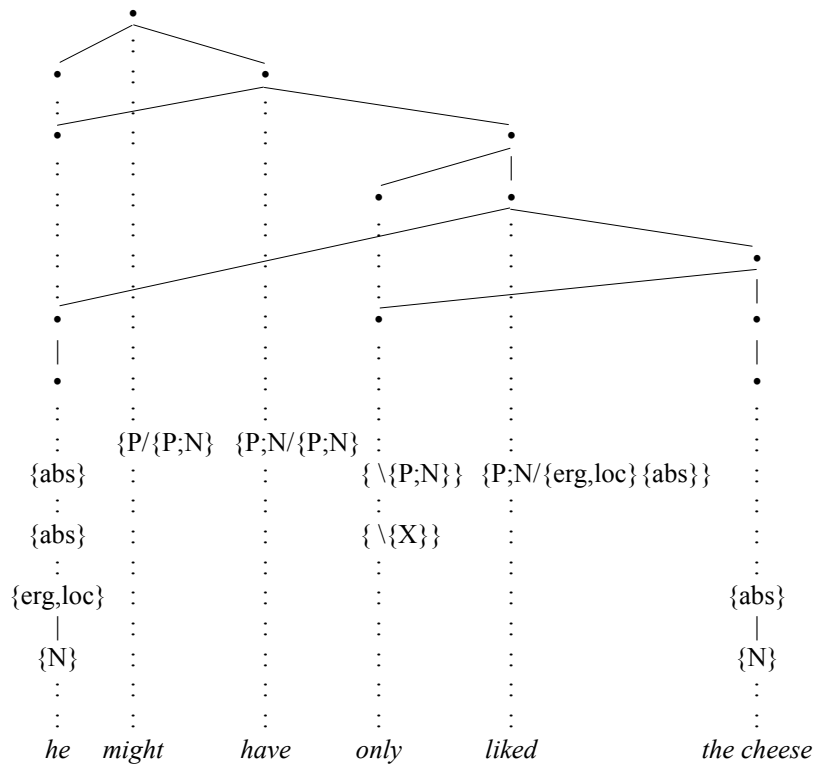
The vicarious slot can be associated with any {P;N} in the clause:

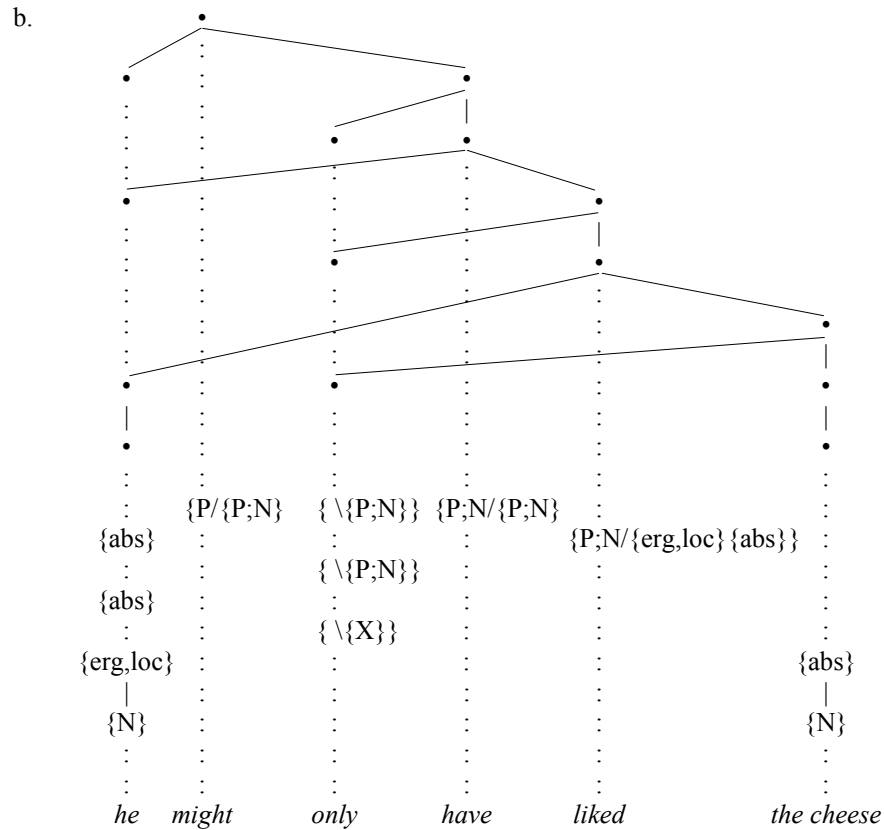
- (27) a. He may have only liked the cheese  
 b. He may only have liked the cheese  
 c. ?\*He only may have liked the cheese  
 d. He may have liked only the cheese
- (28) a. He might have been only deceiving Molly  
 b. He might have only been deceiving Molly  
 c. He might only have been deceiving Molly  
 d. ?\*He only might have been deceiving Molly  
 e. He might have been deceiving only Molly

Non-operator auxiliaries are {P;N} rather than {P}; thus, modals in English are always {P}; the other auxiliaries may be {P} (as in (11)) or {P;N} (as in (27/28)), and are distinguished lexically from other verbals by precisely this dual categorisation.

We can accommodate this phenomenon if we associate with a non-operator verbal predicator the capacity to provide a vicarious specifier node to any element dependent on that predicator, as in (1.a), (25.a), (27.a) and (28.a). In ((27.b), (28.b) and (28.c), this provision occurs recursively, so that *only* comes to be associated not just with the predicator on which *the cheese* depends, but also on the predicator that governs that predicator; and, in the case of (28.c), *only* comes to be associated with three successive predicators. The application of this vicarious verbal specification is illustrated by the representations for (27.a) and (27.b) given in (29), with the latter showing two instances of such vicarious specifiers:

(29) a.





As with subject-formation, it is the position of the upper, ‘derived’ specifier that determines the placement of the shared element, in this case *only* (Anderson 1997: 312). And, again, there is no movement: linearity is established on the basis of the categories and dependencies established in the syntactic tree.

We can formulate **vicarious verbal lexical predicator specification** as the optional syntactic redundancy in (30):

$$(30) \quad \begin{array}{c} \{\backslash\{P;N/\{X\}_i\}\} \\ \vdots \\ \{\backslash\{X\}_i\} \Rightarrow \{\backslash\{X\}_i\} \\ \vdots \\ \textit{only} \quad \textit{only} \end{array}$$

which permits *only* to seek additional specifier positions to that for which it is categorized, specifically verbal specifier positions which are attached to predicators which govern  $\{X\}$ , the category which *only* is lexically marked as specifying, and which in this particular instance ‘ $\{X\}_i$ ’ it is specifying. (30) is strictly local. Here I stipulate this (the locality imposed by the dependency relation), by deploying the ‘i-subscript’, for transparency; it is, however, the unmarked assumption, and need not be included in the rule itself. Given this locality restriction, we can also say that (30) applies only to an *only* which specifies a

functor or a predicator, since (according to Anderson 1997: e.g. §3.6) only functors and predicators depend directly on verbal predicators, as required by (34).

We also find examples of vicarious specification involving the non-vicarious specifiers of non-verbal predicators as inputs, as in (31):

- (31) a. So far he has only been jealous – *cf.* So far he has been only jealous  
 b. So far he has only been a nuisance – *cf.* So far he has been only a nuisance

But, again, the operator ( $\{P\}$ ) does not allow vicarious specification:

- (32) a. He (?\*only) is (only) jealous  
 b. He (?\*only) is (only) a nuisance

Moreover, non-verbal predicators can themselves also provide a vicarious slot:

- (33) a. He is only afraid of spiders  
 b. He is only a friend of the rich

This is not so common as with verbal predicators, given the more limited argument structures typical of adjectives and (particularly) nouns.

We can thus sharpen up (30) a little as (34), **vicarious lexical predicator specification**:

- (34)
- |                            |               |                                    |
|----------------------------|---------------|------------------------------------|
|                            |               | $\{\setminus\{P,N/\{<P,N>\}_i\}\}$ |
|                            |               | ⋮                                  |
|                            |               | ⋮                                  |
| $\{\setminus\{<P,N>\}_i\}$ | $\Rightarrow$ | $\{\setminus\{<P,N>\}_i\}$         |
| ⋮                          |               | ⋮                                  |
| ⋮                          |               | ⋮                                  |
| <i>only</i>                |               | <i>only</i>                        |

'<>' indicates optionality; and ' $\{P,N\}$ ' characterizes the class of lexical predicators, where ',' generalizes over ';' and ':'. That is, ' $\{P,N\}$ ' indicates any combination involving both **P** and **N** – thus verbs, nouns and adjectives. ' $\{<P,N>\}$ ' therefore includes all combinations of both **P** and **N** and neither **P** nor **N** – verbs, nouns, adjectives and functors.

The specifiers of subjects are excluded from acquiring vicarious specification; (35.a) and (b) are not equivalent on any interpretation:

- (35) a. Only John has learnt about it  
 b. John has only learnt about it

By virtue of subject-formation, *only John* in (35.a) comes to depend (as in (16/17)) on a component predicator that, contrary to the requirements of (34), governs the predicator which would provide the point of attachment for vicarious specification. It is tempting to see this as the basis for the exclusion. However, there are indications in earlier texts that subjects were once eligible for vicarious specification:

- (36) a. The oldest son shall only inherit his father  
 b. You have no Clause before you, only the word, "declare," that word is only moved







attracts to itself the specifier of its dependent functor or predicator (34), or the specifier of the {P} that governs it (41). These observations remain informal, but the weight of evidence for the ‘tendency’ is formidable. Moreover, in English this position is already an established circumstantial adverbial slot. And, on the other hand, immediately post-verbal *only* is in a linear position disfavoured by circumstantials, particularly if an object follows (*\*She ate slowly the spaghetti*).

There is perhaps also a particular motivation in the present instance for the ‘usurping’ of the {P;N} specifier position: For semantic reasons *only*-specification of an argument or of the predicator alone is commoner than *only*-specification of the {P;N} construction as a whole. Consider again (1.a):

- (1) a. Bill only enjoys cheese in France

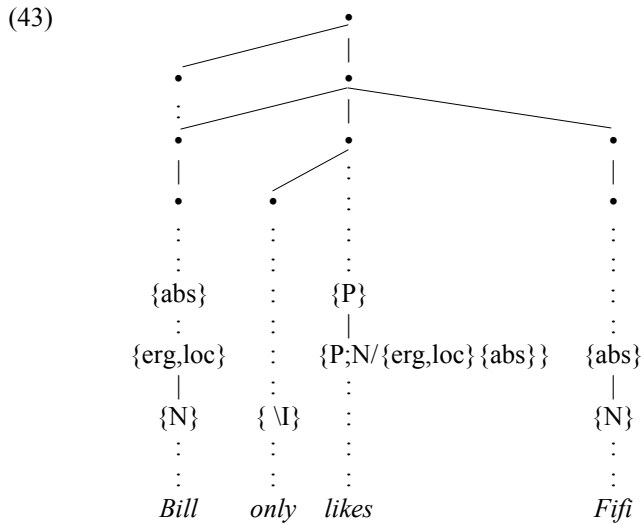
The readings on which *only* takes *enjoys cheese (in France)* in its scope is much less salient than either the vicarious readings, equivalent to (1.b) and (c), or the reading with *only enjoys* in the scope of *only*, i.e. (4.d). This is partly because in these latter cases the paradigmatic alternatives to the elements in the scope of *only* are more obvious: one has to hunt around for what is excluded by ‘enjoys cheese (in France)’ (cf. Horn 1969). Thus sentences like (1.a) seldom in practice present us with much ambiguity: the vicarious readings are the normal ones, unless the predicator bears the tonic, signaling that it alone is within the scope of *only*, as indicated in (4.d). Moreover, as Fowler reminds us, there are often rhetorical reasons for placing an *only* earlier than the element in its scope: ‘the orthodox *It would be safe to prophesy success to this heroic enterprise only if reward & merit always corresponded* cries out to have its *only* put early after *would*, & unless that is done the hearer or reader is led astray’ (1926: 405). And compare here Nevalainen (1991: 134-5).

Vicarious specification involves a shared argument, and often non-projectivity (tangling), as in, say, (29.b), where the two lower arcs terminating in a node that is associated with *only* cross (other) association lines. These involve departures from the unmarked assumption, that syntactic representations are proper, projective trees. However, the present proposal does not add significantly to the repertoire of violations theoretically permitted. Subject-formation creates analogous configurations, as again illustrated by (29.b), where once again the two lower arcs terminating in nodes associated with *he* intercept association lines. The ‘derived’ specifier, subject, involves an ‘empty’ {abs} serialised to the left of {P}. The ‘misplaced’ *only* occupies an empty ‘derived’ specifier position to the left of {P;N}, and particularly {P;N}.

Let us turn finally in this section to the representation of those *only* constructions in which the predicator is signaled by the intonation to be alone in the scope of the *only*. Take a sentence like (42.a):

- (42) a. Bill only likes Fifi these days  
 b. Bill only likes Fifi these days  
 c. Bill only likes Fifi these days

Here only the predicator and not the {P;N} construction as a whole is within the scope of *only*. We can represent this as in (43):



In this case the scope of *only* is sub-syntactic: *only* ‘retro-complements’ individual lexical items (‘I’ in the representation for *only* in (43)) rather than categories. Thus it introduces a node below the syntactic node projected by {P;N}. I shall refer to this kind of representation as characterising a **lexical reading**. These characteristically involve items that can be placed on a scale with respect to which they are not the strongest item: *He only likes Fifi – he’s not in love with her* (Horn 1969: 101-2).

Lexical readings are not categorially restricted, and vicarious specification is very generally available, as illustrated by (42.b). Subjects are again excluded from vicarious specification: (42.c) does not involve vicarious specification of *Bill* by *only*. With lexical readings *only* may even have sub-lexical-item elements as its scope, as in (44), which also illustrates, in (a), vicarious specification:

- (44) a. I’m only advocating prosecution, not persecution
- b. Helen only was a spy

(Horn 1989: 435, Nevalainen 1991: 47; Ross & Cooper 1979: 413). In (44.b) the scope of *only* is apparently the tensing. I do not pursue this aspect of the behaviour of *only* here, recognising that it is a massive topic in itself.

### 3 Nominals and ‘adverbial’ *only*

Given the formulation in (34), referentials ({N}) are also excluded from vicarious predicator specification. They are not dependents of predicators, given that a functor always intervenes between predicator and referential (recall the discussion following (6) above); and they do not involve a combination of both or neither **P** and **N**. There is, moreover, empirically no need to appeal to (45.a) as the non-vicarious version of (45.c), given the acceptability of (45.b), which, indeed, some speakers prefer to (45.a):

- (45) a. Hetty is fond of only Bert
- b. Hetty is fond only of Bert
- c. Hetty is only fond of Bert
- d. Hetty is only fond of Bert

((45.d) is a lexical reading.) And not regarding it as such enables us to maintain the more restrictive version of vicarious predicator specification. Moreover, the putative version with putative simple referential specification is often much worse than (45.a):

- (46) a. ?\*She practices with only professionals  
 b. She practises only with professionals  
 c. She only practices with professionals  
 d. She only practices with professionals

((46.d) is again lexical.) Referential specification by *only* seems to be very marked.

It looks as if we are arriving at a hierarchy of eligibility for (categorical, as opposed to item) specification by *only*, and for vicarious specification. *Only*-specification of functor phrases, headed by { }, is very generally feasible, though vicarious specification often yields more ‘natural’ sentences, as with (45.c) vs. (b). Specification of {P} by *only* is likewise general, but vicarious specification now appears to be obligatory, as in (37), on the appropriate reading of that sentence (i.e. as in (38)):

- (37) John only abandoned Mary  
 (38) All that happened was that John abandoned Mary

Such a reading, however, is often less salient than one with vicarious specification of a functor. *Only*-specification of lexical predicators, and particularly verbal predicators, is common, but predominantly as manifestations of vicarious specification, particularly of a functor phrase; simple specification of {P,N} is unusual, and often highly contextualised, as with interpretations (4.d) for (1.a):

- (1) a. Bill only enjoys cheese in France  
 (4) d. Bill doesn’t do anything (in France) more worth remarking on than enjoy cheese (in France)

We are now finding – cf. (46) – that specification of {N} by *only* is often very awkward, not just difficult to contextualise, as illustrated by (46.a), or (47.a):

- (47) a. ?\*She listens to only Bert  
 b. She listens only to Bert  
 c. She only listens to Bert

I am therefore assuming that (47.c) is the vicarious congener of (47.b), not of (47.a), and that in (25.c) *only* specifies the incorporated functor category rather than the referential:

- (25) a. He might only eat the cheese  
 c. He might eat only the cheese

(25.a) is the vicarious equivalent of the functor-specifying (25.c). And vicarious specification does not apply naturally to referentials. But we must consider now some apparent exceptions.

Before proceeding to these, I present in the table a summary of some tentative conclusions concerning *only*-specification.

	<i>Only</i> -specification	Vicarious
{ }	✓✓✓	<i>optional</i>
{P}	✓✓	<i>obligatory</i>
{P,N}	✓	<i>(target)</i>
{N}	?*	---

**Table: Distribution of *only*-specification and vicarious specification**

### 3.1 Quantification and vicarious specification

Quantifier referentials, including numerals, are exceptional as {N}s in both the respects just mentioned. They are freely specified by *only*, as in (48.a), beside which (48.b) is rather stilted:

- (48) a. She listens to only some of his advice  
 b. She listens only to some of his advice  
 c. She only listens to some of his advice

And there seems to be no reason, unlike with (46), not to regard (48.c) as the vicarious congener of (48.a) rather than the functoral (48.b), particularly given that many putative functoral equivalents are low in acceptability, as illustrated by the pattern in (49):

- (49) a. He travels with only two of them  
 b. ?\*He travels only with two of them  
 c. He only travels with two of them

But in that case vicarious specification would in this instance not involve a dependent of {P;N}, as required by (34). Both these exceptional aspects of quantifiers {N}s can be related to a facet of quantifier syntax that, after all, will enable us to maintain the view of vicarious specification that we have built up – as resisting referential inputs.

Quantifiers are subordinate not just to the lexical predicator whose subcategorisation is satisfied by the functor phrase they occur in but also simultaneously to an **existential** predicator superordinate to that predicator (cf. Anderson 1997: 307-13); they are shared arguments. This existential is introduced by the lexical redundancy in (50):

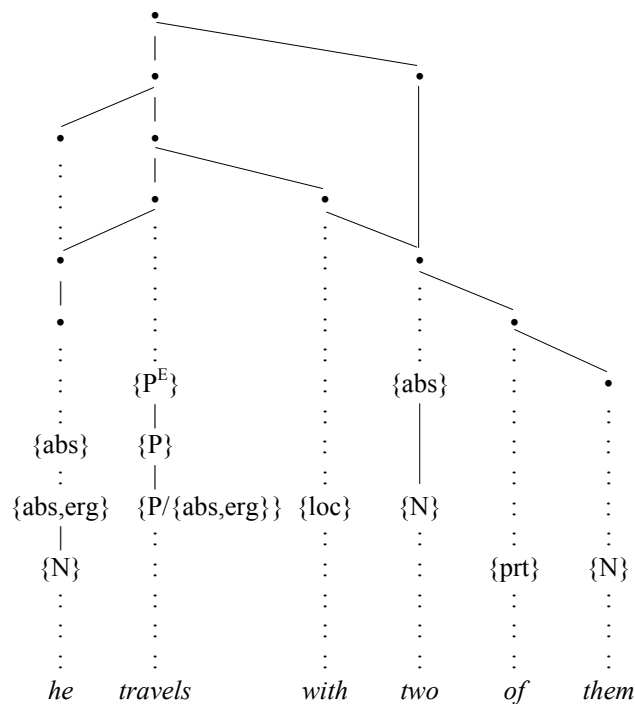
- (50) 
$$\begin{array}{c} \{P^E\} \\ | \\ \{P<N>\} \Rightarrow \{P<N>\} \end{array}$$

which optionally introduces an existential extension above any verbal predicator. The {P<sup>E</sup>} may be expressed analytically, as a form of *be*, as in (51.a):

- (51) a. There are two of them he travels with  
 b. He travels with two of them

But in (51.b) it is incorporated as part of a complex predicator, as shown in (52):

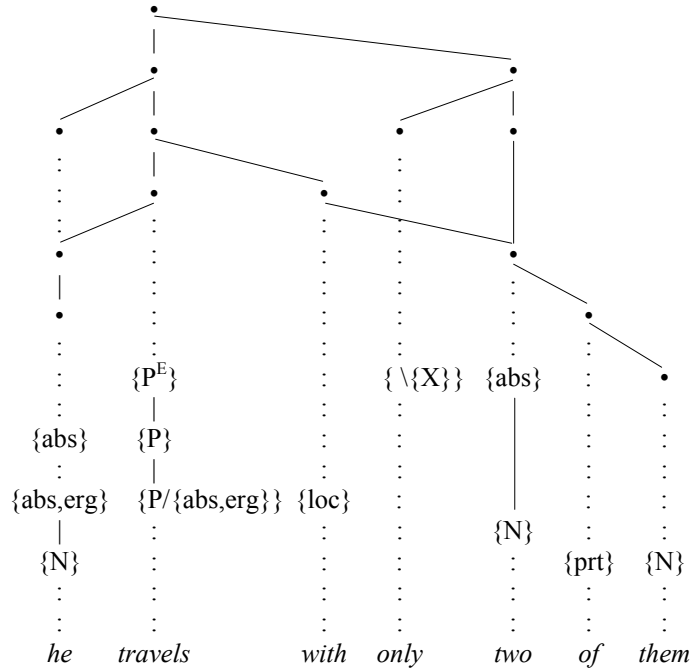
(52)



By virtue of **quantifier-raising**, the functor phrase containing the quantifier shares its argument, the quantifier, with the free *abs* dependent on the existential predicator; the basic verb is an agentive intransitive ( $\{^{\prime}/\{abs,erg\}^{\prime}\}$ ), with a circumstantial containing a quantifier; **prt** is the **partitive** functor (see further §4). Existential sentences lack subject formation in accordance with the subject-selection hierarchy; even in the overtly expressed existential (51.a), the subject is expletive (cf. again Anderson 1997: 308-13):

This means that in (49.a) *only* can be interpreted as specifying not the {N} but the existential {abs} that governs it; after all, quantifiers are not exceptional with respect to the awkwardness of *only*-specification with referentials. We can represent the structure of (49.a) as in (53):

(53)

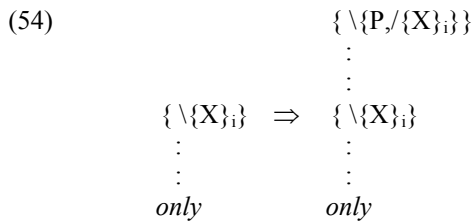


We thus can maintain the generalization that *only*-specification is least compatible with referentials, in accordance with the hierarchy sketched out above. The apparent exceptions with quantifier-headed phrases involve specification of the existential functor phrase with which the quantifier is associated.

What of the vicarious version (49.c)?

(49) c. He only travels with two of them

As formulated, vicarious specification applies to dependents of  $\{P;N\}$ , or, more generally of  $\{P,N\}$  (any lexical predictor). The construction affected in (49.c) is not a dependent of  $\{P;N\}$ , but of  $\{P\}$ , in particular  $\{P^E\}$ . Suppose, however, we generalize vicarious specification to a dependent of any category which includes **P** in its categorization, thus any predictor; i.e. we substitute for (34) a formulation of **vicarious specification** such as that in (54):



(where ‘ $\{P,\}$ ’ allows for the null combination, and ‘ $X$ ’ conforms to the hierarchy in the table). This allows the existential  $\{P\}$  to provide a vicarious specifier slot for *only*. But specifiers of  $\{P\}$  obligatorily require vicarious specification by a  $\{P;N\}$ , as formulated in

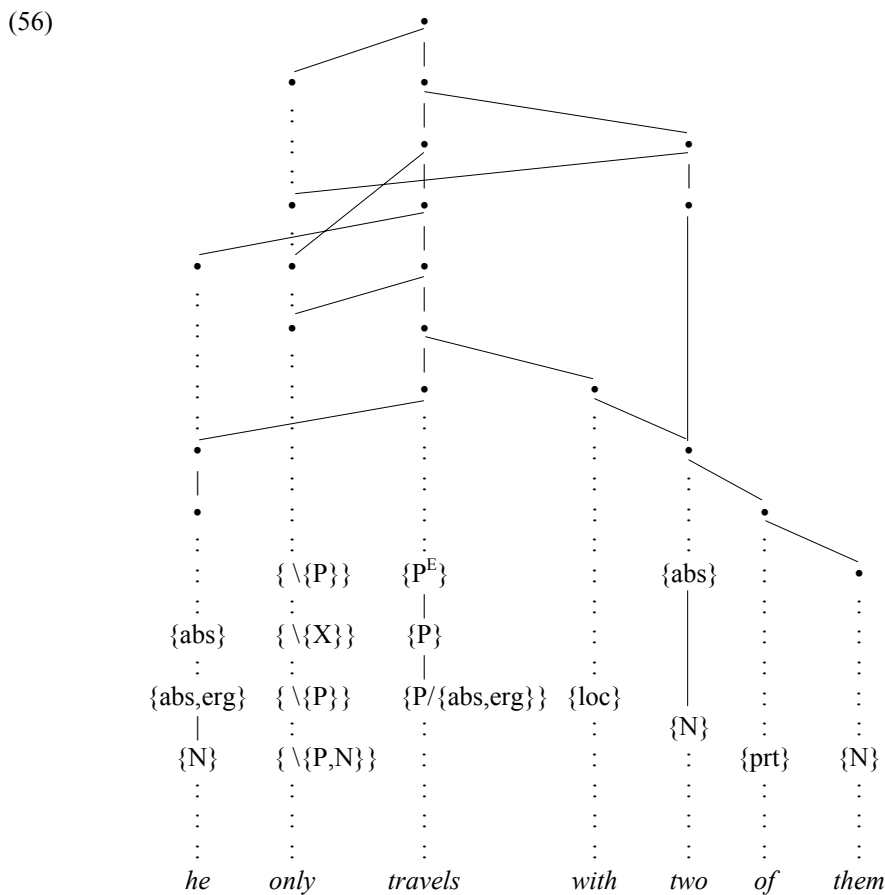


(42), vicarious lexical predictor specification of {P}. This is repeated here, and somewhat generalised, as (55):

$$\begin{array}{ccc}
 (55) & \{\backslash\{P/\{P, \}_i\}\} & \Rightarrow & \{\backslash\{P/\{P, \}_i\}\} \\
 & \vdots & & \vdots \\
 & \vdots & & \{\backslash\{P, \}_i\} \\
 & \vdots & & \vdots \\
 & \text{only} & & \text{only}
 \end{array}$$

(55) – what I shall refer to as **predicator specification of {P}** – requires that any *only*-specified {P} has a vicarious specifier associated with any dependent {P,}, predicator. This can apply recursively, as we shall see, and *only* terminates when the vicarious specifier is dependent on a lexical predicator. At this point the conditions for (55) will not be met: the input is not ‘{\{P/\{P, \}\}}’.

We can thus represent (49.c) as in (56):



Here *only* specifies the existential {abs}, the existential predicator itself, the {P} below that and the most inclusive construction headed by {P;N}. The topmost syntactic node above

*two* is that required by the specifier of *two*. The nodes above *travels* are respectively, from the top, the head node introduced by the specifier of the existential predicator, the node projected by the existential predicator, the head node required by the specification of the lower {P}, the node projected by the lower {P} itself, the head node introduced by the specifier of {P;N}, the head node introduced by the circumstantial *with two of them* and the node projected by {P;N}.

Apparent *only*-specification of quantifiers and vicarious specification thereof involve rather specification of the {abs} of the existential predicator which is subcategorisationally satisfied by the quantifier phrase. Referentials themselves in general are not ‘happily’ specified by *only*, as embodied in the formulations in (34) and (54).

### 3.2 Attributives and vicarious specification

*Only*-specification of referential phrases varies from the ‘awkward’ to the clearly unacceptable, and there seems to be no need to allow for vicarious specification of them. But we must apparently provide for vicarious specification of subparts of referential phrases, specifically attributives. Consider here (57.a):

- (57) a. He only enjoys flowers with a scent  
 b. \*He enjoys flowers only with a scent  
 c. He enjoys only flowers with a scent  
 d. Only flowers with a scent please him

On one reading of (57.a) *with a scent* is in the scope of *only*. Indeed, here vicarious specification is obligatory, as shown by the badness of (57.b). But notice also that although (57.c) shows simple specification of the functor phrase on one reading, on another *only with a scent* is in the scope of *only*. The same structural properties as with quantifiers provide for vicarious specification. That is, we have alternative vicarious attachments: one is the standard one to {P;N}, illustrated by (one interpretation of) (57.a); the other is a sort of ‘halfway-house’, where the modifier of *flowers* is specified from pre-functor position, as instantiated by (one interpretation of) (57.c), or of (57.d).

*With a scent* should not be accessible to standard vicarious specification, as formulated in (54), repeated here:

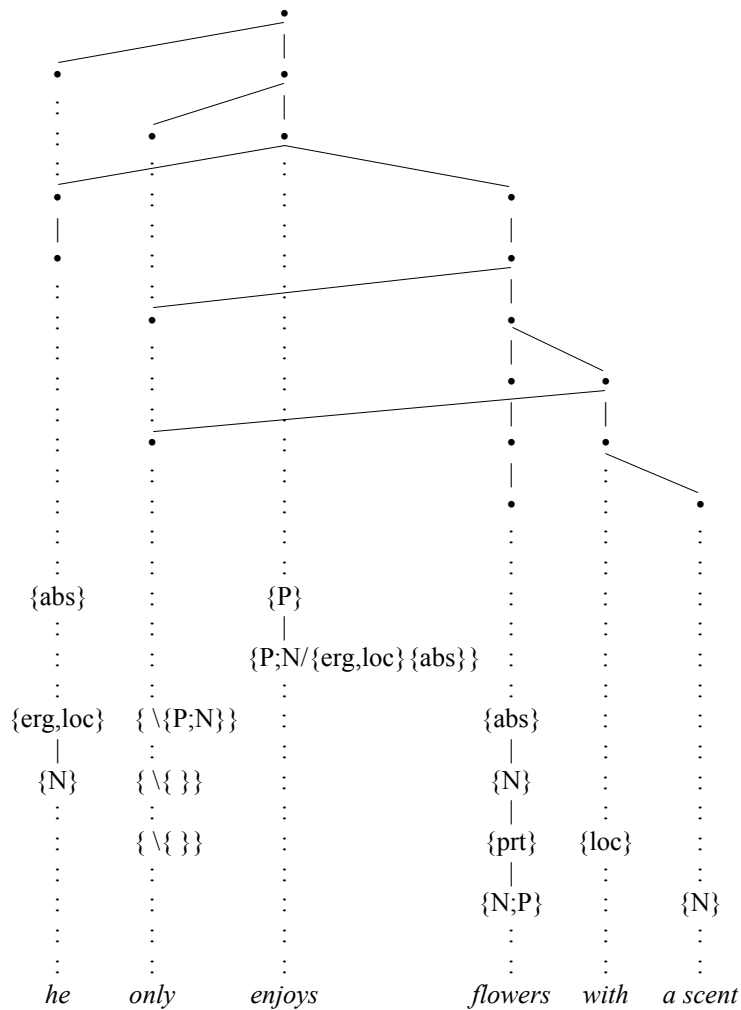
$$(54) \quad \begin{array}{ccc} & & \{\backslash\{P/\{X\}_i\}\} \\ & & \vdots \\ & & \vdots \\ \{\backslash\{X\}_i\} & \Rightarrow & \{\backslash\{X\}_i\} \\ \vdots & & \vdots \\ \vdots & & \vdots \\ \text{only} & & \text{only} \end{array}$$

Its relationship with the predicator is not strictly local; it is not a direct dependent. This suggests that the vicarious structure associated with (57.a) may be fed by that associated with (57.c). (57.c) instantiates a new species of vicarious specification which makes available the pre-functor slot to nominal modifiers. Appeal to this species also seems to be necessary to account for (the relevant interpretation of) (57.d).

If on one reading (57.c) and (57.d) show vicarious specification of *with a scent*, the appropriate partial representation would be as in (58):



(60)



Here we have two vicarious specifications, one the result of (59), which applies obligatorily, the other, higher one resulting from (54). (57.d), with *only flowers with a scent* in subject position, has, as expected, no equivalent with vicarious specification of a predicator, unlike (57.c).

#### 4 'Attributive' *only*

*Only* has, and has had, other senses, and categorisations, than the 'adverbial' ones that have been our focus so far. It behoves me to note the most salient of these, the 'attributive' use, a distinction long recognised by lexicographers (cf. e.g. Johnson 1843), without, however, its character necessarily being agreed on (cf. e.g. Rissanen 1985: 222, note 8, Nevalainen 1991: 130). This use is illustrated by (61.a), for which the sentence in (b) does not constitute a vicarious congener:

- (61) a. She landed on only two engines  $\neq$
- b. She only landed on two engines

(61.b) is perhaps most obviously to be interpreted as showing a simple specification of {P;N}. In (62) we find both ‘adverbial’ and ‘attributive’ *only*:

- (62) a. She only landed on only two engines  
 b. She only wants to land on only two engines  
 c. She wants to land only on only two engines

(62.b) neutralises the expression of several potential specifier interpretations one of which (foolish though it may be) is associated with (c).

‘Attributive’ *only* characteristically precedes an overt quantifier (as in (61/62)) or follows *the* (or a possessive). Compare with (61.a) the examples in (63) with preceding *the*:

- (63) a. He had met the only survivors  
 b. She avoided the only infants with blond hair  
 c. The only three infants with blond hair have disappeared

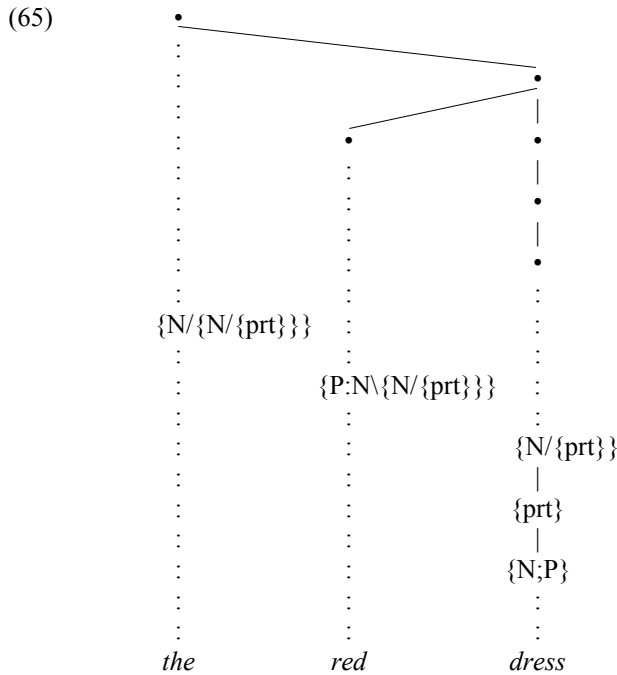
(63.c) shows both a *the* and a quantifier. Following *the* such an *only* is clearly nominal-phrase internal, and even when preceding a quantifier it is clearly bound to the latter, though it may be postposed to the nominal phrase:

- (64) She landed on two engines only

In neither case – pre-quantifier or post-*the* – is vicarious specification possible.

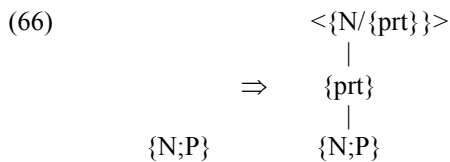
I have suggested that the traditional ‘adverbial’ use of *only* is specifically a ‘specifier’ use. We must now consider whether the present use, or set of uses, is appropriately referred to as ‘attributive’.

As observed in §3.2, attributives, like specifiers and verbal circumstantials, introduce a syntactic node above the node projected by the element they ‘retro-complement’, as shown in the partial structure in (65):



As with *with a scent* in (60), the attributive, here *red*, ‘retro-complements the head of an incorporated quantifier (partitive) structure, and the latter complements *the*. Let us look a little more carefully at what I have called the ‘partitive’ structure.

I am assuming that there is a general optional lexical redundancy of **secondary referentiality** available to nouns, of the form of (66):



This partitive  $\{N\}$  may be of different types, reflecting dimensions and sub-dimensions such as count/mass, singular/plural; and its character is reflected in the number etc. of nouns and aspects of their syntax. The  $\{N\}$  in (66) is optional: the partitive configuration may be attached to an overt quantifier, as in (71) below, and the  $\{prt\}$  may be overtly expressed, as in *some of them*, as represented in (52). In these instances we have periphrastic expression, as an overt quantifier, of this functional category.

*Red* is categorised as an adjective and as such can be attributive, as made explicit in (65); in this case it ‘retro-complements’ the  $\{N/\{prt\}\}$  of *dress*. This departs markedly from the proposals made in Anderson (1997: §3.7.3); but this is not the place to show that expressivity has not been lost; what is being proposed here is indeed rather more conventional.

Like verbal circumstantials, and unlike specifiers, attributives are potential complements; the typical attributive in (64/66.a) can also be predicative, given its basic  $\{P:N\}$  categorisation, complementing the copula, as in (67.a):

- (67) a. The dress is red (*cf.* the red dress)  
 b. \*The survivors are only (*cf.* the only survivors)

This is not the case with the *only* of (67.b). There are a few well-known ‘attributive adjectives’ that apparently may not be predicative. Contrast (68.a) and (b):

- (68) a. The present/late/mere vice-president was ignored  
 b. The vice president was present/late/\*mere  
 c. She is a present/late/mere vice-president

*Present* and *late* in (68.b) do not bear the same senses as in (the most obvious readings of) (68.a), and *mere* is simply unacceptable. But they may function as part of a predicative phrase, as in (68.c). Whatever one makes of this (Anderson (1997: 304-5) suggests that they involve a transitive construction), it is not a distribution shared with *only*. The most obvious interpretation of (69) involves *only* specifying a predicator, and any interpretation parallel to (68.c) is forced, perhaps based on the model of the idiomatic *only child*:

- (69) They are only survivors

Likewise (70.a) is much more likely than (b), confirming the specifier-of-predicator rather than ‘attributive’ status of *only* in predicative phrases:

- (70) a. I’m only a survivor  
 b. I’m an only survivor

What then is the status of *only* in (61) and (63)?

- (61) a. She landed on only two engines  
 (63) a. He had met the only survivors  
 b. She avoided the only infants with blond hair  
 c. The only three infants with blond hair have disappeared

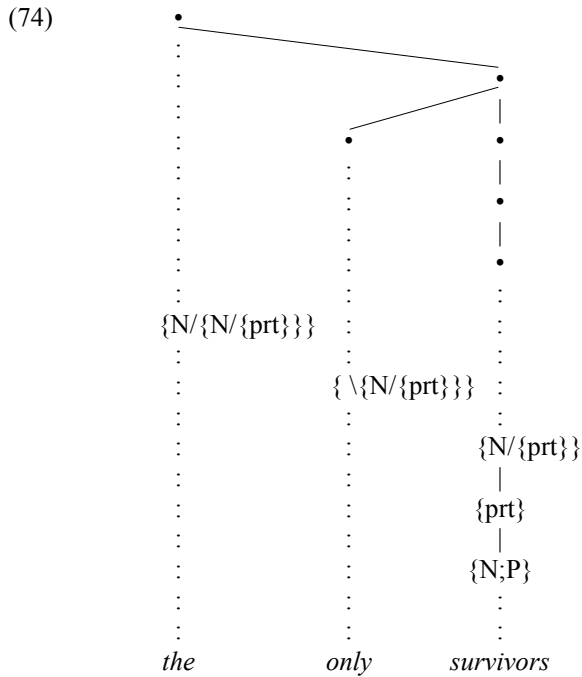
Let us look first at (61.a).

Here *only* is plausibly the specifier of the quantifier: it is ‘ $\{ \setminus N / \{prt\} \}$ ’; so that we might represent the second nominal in (61.a) as in (71):









We might paraphrase (63.a), again rather clumsily, as ‘that group more than whom didn’t survive’. The presence of *the* allows the *only* to specify not just a periphrastic quantifier but also, as here, an internalised quantifier category.

It seems, on the basis of what we’ve looked at in the present subsection, that we should distinguish a specifically quantifier-specifying *only*, thus one with an attributive-like distribution, from the general specifier discussed in §2 and §3.1, which latter attaches to a range of categories and allows vicarious specification. It is with this distinction that we can associate the different ‘shades of meaning’ with temporals that Poutsma (1928: 457) draws our attention to:

When denoting a relation in time, it occurs in two shades of meaning, viz.:  $\alpha$ ) *no longer ago than* (Dutch *nog*),  $\beta$ ) *not before* (Dutch *eerst*). In the first its ordinary place is before the adverbial adjunct, in the second before the verb. Compare *I saw him only yesterday* with *I only saw him yesterday*.

But we must approach this indirectly, via some related observations.

It has been observed more recently (Jørgensen 1974, Taglicht 1984: 153-4, Nevalainen 1991: 42-3) that the ambiguity of (75.a) is resolved by (in terms of the present proposals) the vicarious specification in (b) (albeit (b) also introduces other, verbal readings):

- (75) a. They received the telegram only two hours later  
 b. They only received the telegram two hours later

(75.a) and (b) share a ‘not before’ reading; (75.a) but not (b) has a ‘no longer ago than’ or ‘no more than’ reading.. The latter is what we would associate with specification of the quantifier. The former is associated with specification of the functor phrase containing the

quantifier, which may have vicarious specification apply to it. This is confirmed by a comparison of examples with sentence-initial temporals such as those in (76):

- (76) a. Only after two hours did they receive the telegram  
 b. After only two hours they received the telegram

(76.a) has a ‘not before’ reading, and the specifier is appropriately placed for having the functor phrase in its scope; and preposing of the negatively specified functor phrase triggers inversion. (76.b) has a ‘no more than’ reading associated with specification of the quantifier and not the functor phrase as a whole, whose preposing thus fails to trigger inversion.

König (1981: 119) notes a similar ambiguity in:

- (77) Only twenty pounds would solve my problems

The ‘no more than’ interpretation is associated with specification of the quantifier: ‘as little as twenty pounds would solve my problem’. But there is also a ‘nothing other than’ interpretation, which reflects *only*-specification of the subject functor. Accordingly, only this latter, negatively-specified-functor reading survives in the ‘non-assertive’ context of (78):

- (78) Only twenty pounds will be any help

*Only* is not a typical ‘negative-polarity’ item (Nevalainen 1991: §2.3.3), but in this respect it conforms to the expected pattern, provided that it is accessible to the non-assertive item – that is, under the ‘nothing other than’ reading, as specifier of the functor rather than the functor-phrase-internal quantifier. Some consequences of the distinction between quantifier-specific *only* and the general specifier can be observed here as well as in the temporal cases.

However, the account offered here is still incomplete. And one aspect of the incompleteness returns us to Poutsma’s observations, quoted above. For notice that a ‘no more than’ interpretation is possible not just with overt quantifier phrases but also with strongly scalar items, like that in (one interpretation of) Poutsma’s (1928: 457) example replicated as (79.a):

- (79) a. I saw him only yesterday  
 b. I only saw him yesterday

or in (80.a):

- (80) a. He retired only last year  
 b. He only retired last year

Arguably, such temporals in such a use include a quantificational structure, though: ‘only yesterday’ = ‘only one day before the present one’, and ‘only last year’ = ‘only one year before the present one’. So I shall continue to distinguish the more restricted specifier as a specifier of quantifiers, overt and incorporated.

But there is another problem. Poutsma (1928: 457) describes the placements illustrated in (79) as representing the ‘ordinary’ places for *only* when associated with respectively a ‘no longer ago than’ and a ‘not before’ interpretation. But he immediately

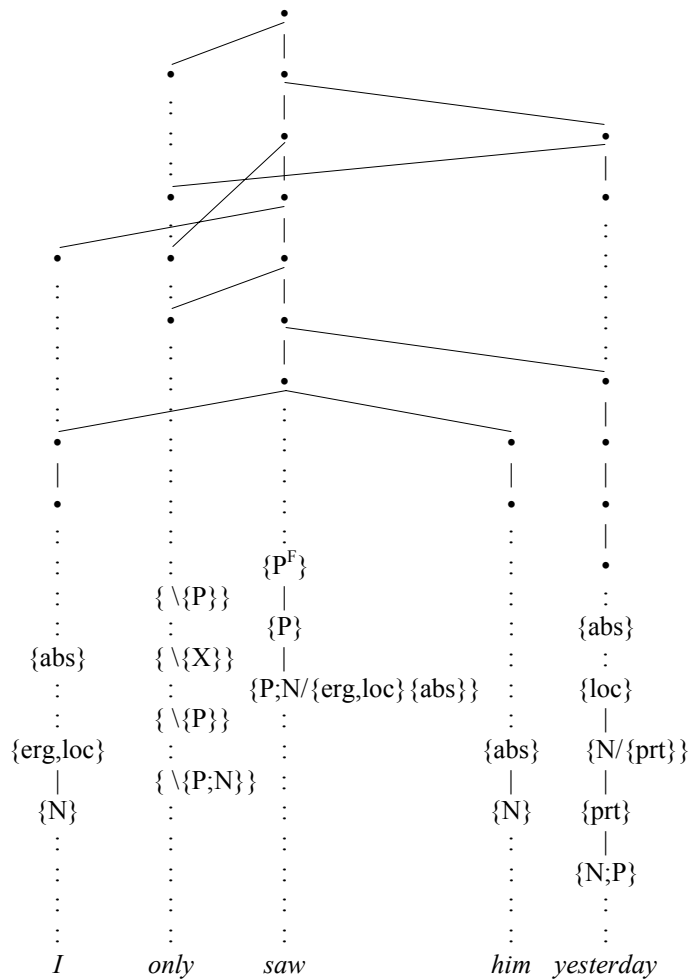
goes on to concede that ‘this nicety of word-order is not, however, always observed’. Indeed, contrary to what was observed in relation to (75), the vicarious variants in (79.b) and (80.b), as well as the non-vicarious (79.a) and (80.a), are ambiguous between a ‘no longer ago than’ and a ‘not before’ reading.. Only a ‘not before’ reading is available to the vicarious (75.b). I associated this with the unavailability for vicarious specification of the quantifier construction (‘no longer ago than’) as opposed to the functor (‘not before’): only the functor and not the quantifier directly depends on the predicator which provides the vicarious slot. But the vicarious versions of (79.b) and (80.b) can be associated with a ‘no longer ago than’ reading.

The resolution of this discrepancy is along similar lines to those described in §3.1 in relation to accounting for the exceptional status of quantifiers with respect to specification by ‘adverbial’ *only*, involving a superordinate existential predicator. Here we are concerned with focus rather than existence. What I suggest is this: *only* in (79) and (80) specifies, on the ‘no longer ago than’ reading, specifies the {abs} of a superordinate focus predicator rather than directly the quantifier-including configuration. In (79) and (80), on a ‘no longer ago than’ reading, the quantifier phrase is focused; the quantifier is associated with the {abs} of a focus predicator, and so available for vicarious specification. In (75) it is the whole phrase *two hours later* that is in focus, not just the quantifier subpart, which is thus not associated with the focus {abs} and so not eligible for vicarious specification. Compare the periphrastic variants in (81):

- (81) a. It was only two hours later that they received the telegram  
 b. It was only yesterday that I saw him

In (81.a) it is clear that the whole phrase *only two hours later* is in focus, and that *only* is associated with only a part of that phrase, which is thus not accessible to vicarious specification. In (81.b) the quantifier-incorporating noun itself is associated with the {abs} of the focus predicator, and the specifier of this {abs} is available for vicarious specification, as shown in (82):

(82)



Here, to avoid complications, *see* is treated as a simple experiencer verb; its precise character is not germane to our present concerns. The internal structure of *yesterday* remains speculative, and incomplete.

### Conclusion and a brief retrospect

I have distinguished here two major uses of *only*, largely along rather traditional lines, whereby there have been differentiated an 'adverbial' and an 'attributive' *only*. I have suggested, however, that in both uses *only* is a specifier. In its 'adverbial' use it is a very general specifier which allows or requires what I have called vicarious specification. In terms of this last, elements come to share their specifier with a governing predicator, or, in the case of {P}, with a governed predicator, or, in the case of attributives, with a governing functor. In each case the relationships involved are strictly local. The attempt to clarify the syntax of vicarious specification was the major motivation behind the present investigation.

In its 'attributive' use *only* is a specifier of a quantifier category, either a periphrastic quantifier or one incorporated into the internal structure of a noun. Various observations

concerning the syntax of phrases containing *only* are readily relatable to the ‘adverbial’ vs. ‘attributive’ distinction.

The growing currency of *only* over the Modern English period, largely at the expense of *but*, has been thoroughly documented by Nevalainen (1991). The form goes back only to early Middle English, but can be seen as a continuation of the ‘adverbial’ use of *ane* (Rissanen 1985). This latter, according to Rissanen, represents the ‘adverbialisation’ of postposed ‘attributive’ *an* ‘one’, once the morphology failed to reflect the agreement of *an* with the noun to which it was attributive. In Old English, the forms of *an* are only ‘attributive’. Pre-modifying *an* is preceded always by a definite form; and post-modifying *an* agrees with the preceding noun. The Old English pre-modifying usage is the ancestor of the Modern English one instantiated by (62/74). In one respect, Present-day English is more restrictive, in that demonstratives do not license pre-modifying *only* (though Old English, of course, did not formally distinguish article and (distal) demonstrative); compare the sentence from Addison cited by Rissanen (1985: 254):

(83) She was turned into a Man, and by that only Means avoided the Danger

But the availability to *only* of specification of a quantifier illustrated by (60/71) extends the range of pre-nominal possibilities. The ‘adverbialisation’ of post-modifying *an*, confirmed by its adoption of an immediately preceding specifier position, establishes *an/only* as an ‘exclusive adverbial’, a grammatical class not well represented in Old English. And overt-quantifier-specifying *only* retains the postposing possibility, as illustrated by (64), repeated here:

(64) She landed on two engines only

The development of vicarious specification made available a more flexible syntax for *only*, one that could satisfy rhetorical demands, for instance. Its dominance as an ‘exclusive’ over the late Modern English period depends on a number of factors, however (cf. again Nevalainen 1991).

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